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U.S. Patent and Trademark office; U.S. DEPARTMENT OF COMMERCE

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UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages (26)]
(preferred arrangement set forth below)
Descriptive title of the invention
Cross reference to related applications
Statement regarding Fed sponsored R & D
Reference to sequence listing, a table, or a
Computer program listing appendix
Background of the invention
Brief Summary of the invention
Brief Description of the Drawings
Detailed description
Claim(s)
Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets (7)]
5. ☒ Oath or Declaration [Total Pages (4)]
a. ☒ Executed (Unexecuted) (original copy)
b. ☐ Copy from a prior application (37 CFR 1.63)
- (d) ☐ ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
Named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

Attorney Docket No. 64,149-162

First Inventor Lucio Giambattista, et al.

Title PEN NEEDLE AND SAFETY SHIELD SYSTEM

Express Mail Label No. EV016365635US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement ☐ Copies of IDS
(IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 USC 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☒ Other: Check for the payment of the filing fee

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data sheet under 37 CFR. 76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no. ____/____
Prior application information: Examiner _____ Group Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

27305

or ☐ Correspondence address below

Name	Gregory D. DeGrazia			
Address	Howard & Howard & Howard Attorneys, P.C.			
	39400 Woodward Avenue, Suite 101			
City	Bloomfield Hills	State	Michigan	Zip Code 48304-5151
Country	United States	Telephone	(248) 723-0325	Fax (248) 645-1568

Name (Print/Type)	Gregory D. DeGrazia	Registration No. (Attorney/Agent)	48,944
Signature	<i>Gregory D. DeGrazia</i>	Date	February 7, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

02/07/02

921 U.S. PTO

PTO
107072691
S. 1.1017

02/07/02

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$) 992.00

Complete if Known

Application Number	Herewith
Filing Date	February 7, 2002
First Named Inventor	Lucio Giambattista, et al.
Examiner Name	Not Yet Assigned
Group Art Unit	Not Yet Assigned
Attorney Docket No.	64,149-162

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit Account Number 08-2789
Deposit Account Name Howard & Howard

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)					
101 740	201 370	Utility filing fee				740
106 330	206 165	Design filing fee				
107 510	207 255	Plant filing fee				
108 740	208 370	Reissue filing fee				
114 160	214 80	Provisional filing fee				

SUBTOTAL (1) (\$) 740.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =		
0	0	0	0
Multiple Dependent	-3** =		
0	0	0	0

Large Entity	Small Entity	Fee	Fee	Fee	Fee Description
Code (\$)	Code (\$)				
103 18	203 9	Claims in excess of 20			
102 84	202 42	Independent claims in excess of 3			
104 280	204 140	Multiple dependent claim, if not paid			
109 84	209 42	** Reissue independent claims over original patent			
110 18	210 9	** Reissue claims in excess of 20 and over original patent			

SUBTOTAL (2) (\$) 252.00

**for number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)					
105 130	205 65	Surcharge - late filing fee or oath				
127 50	227 25	Surcharge - late provisional filing fee or cover sheet				
139 130	139 130	Non-English specification				
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination				
112 920*	112 920*	Requesting publication of SIR prior to Examiner action				
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action				
115 110	215 55	Extension for reply within first month				
116 400	216 200	Extension for reply within second month				
117 920	217 460	Extension for reply within third month				
118 1,440	218 720	Extension for reply within fourth month				
126 1,960	228 980	Extension for reply within fifth month				
119 320	219 160	Notice of Appeal				
120 320	220 160	Filing a brief in support of an appeal				
121 280	221 140	Request for oral hearing				
138 1,510	138 1,510	Petition to institute a public use proceeding				
140 110	240 55	Petition to revive - unavoidable				
141 1,280	241 640	Petition to revive - unintentional				
142 1,280	242 640	Utility issue fee (or reissue)				
143 460	243 230	Design issue fee				
144 620	244 310	Plant issue fee				
122 130	122 130	Petitions to the Commissioner				
123 50	123 50	Processing fee under 37 CFR 1.17(c)				
126 160	126 160	Submission of Information Disclosure Stmt				
581 40	581 40	Recording each patent assignment per property (times number of properties)				
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))				
149 740	249 370	For each additional invention to be examined (37 CFR § 1.125(b))				
179 740	279 370	Request for Continued Examination (RCE)				
169 900	169 900	Request for expedited examination of a design application				

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) -0-

SUBMITTED BY

Name (Print/Type)	Gregory D. DeGrazia	Registration No. (Attorney/Agent)	48,944	Telephone	248.723.0325
Signature				Date	2-7-02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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CERTIFICATE OF EXPRESS MAILING

I hereby certify that the enclosed **PATENT APPLICATION** and fee is being deposited with the United States Postal Service as Express Mail, postage prepaid, in an envelope as "Express Mail Post Office to Addressee", Mailing Label No. **EV016365635US** and addressed to the Assistant Commissioner of Patents, Washington, D. C. 20231, on **February 7, 2002**.



Tracy L. Smith

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